

# Scan Matching

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## Scan Matching Overview

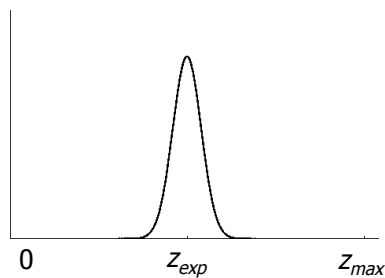
- Problem statement:
  - Given a scan and a map, or a scan and a scan, or a map and a map, find the rigid-body transformation (translation+rotation) that aligns them best
- Benefits:
  - Improved proposal distribution (e.g., gMapping)
  - Scan-matching objectives, even when not meaningful probabilities, can be used in graphSLAM / pose-graph SLAM (see later)
- Approaches:
  - Optimize over  $x: p(z | x, m)$ , with:
    - 1.  $p(z | x, m)$  = beam sensor model --- sensor beam full readings  $\leftrightarrow$  map
    - 2.  $p(z | x, m)$  = likelihood field model --- sensor beam endpoints  $\leftrightarrow$  likelihood field
    - 3.  $p(m_{\text{local}} | x, m)$  = map matching model --- local map  $\leftrightarrow$  global map
  - Reduce both entities to a set of points, align the point clouds through the Iterative Closest Points (ICP)
    - 4. cloud of points  $\leftrightarrow$  cloud of points --- sensor beam endpoints  $\leftrightarrow$  sensor beam endpoints
- Other popular use (outside of SLAM): pose estimation and verification of presence for objects detected in point cloud data

# Outline

- 1. Beam Sensor Model
- 2. Likelihood Field Model
- 3. Map Matching
- 4. Iterated Closest Points (ICP)

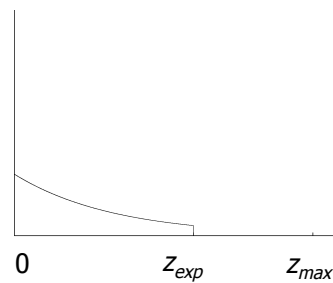
# Beam-based Proximity Model

Measurement noise



$$P_{hit}(z | x, m) = \eta \frac{1}{\sqrt{2\pi b}} e^{-\frac{1}{2} \frac{(z - z_{exp})^2}{b}}$$

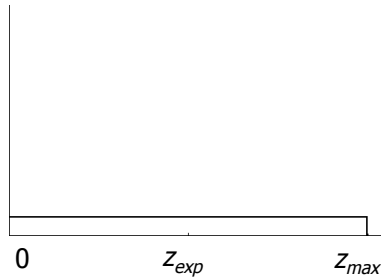
Unexpected obstacles



$$P_{unexp}(z | x, m) = \begin{cases} \eta \lambda e^{-\lambda z} & z < z_{exp} \\ 0 & \text{otherwise} \end{cases}$$

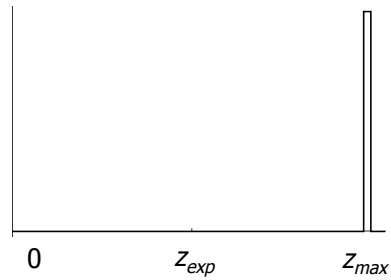
## Beam-based Proximity Model

Random measurement



$$P_{rand}(z | x, m) = \eta \frac{1}{z_{max}}$$

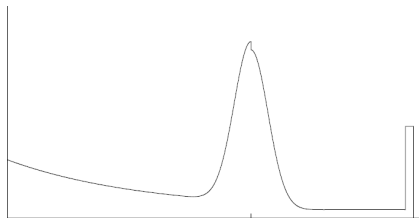
Max range



$$P_{max}(z | x, m) = \eta \frac{1}{z_{small}}$$

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## Resulting Mixture Density



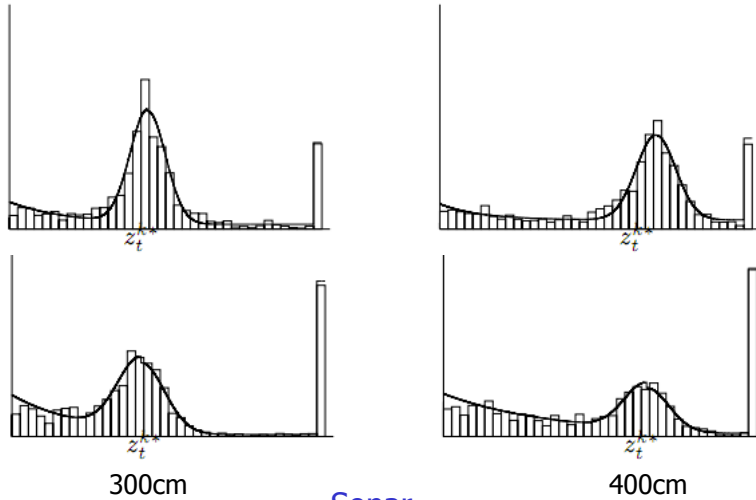
$$P(z | x, m) = \begin{pmatrix} \alpha_{hit} \\ \alpha_{unexp} \\ \alpha_{max} \\ \alpha_{rand} \end{pmatrix}^T \cdot \begin{pmatrix} P_{hit}(z | x, m) \\ P_{unexp}(z | x, m) \\ P_{max}(z | x, m) \\ P_{rand}(z | x, m) \end{pmatrix}$$

How can we determine the model parameters?

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## Approximation Results

Laser



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## Summary Beam Sensor Model

- Assumes independence between beams.
  - Justification?
  - Overconfident!
- Models physical causes for measurements.
  - Mixture of densities for these causes.
  - Assumes independence between causes. Problem?
- Implementation
  - Learn parameters based on real data.
  - Different models should be learned for different angles at which the sensor beam hits the obstacle.
  - Determine expected distances by ray-tracing.
  - Expected distances can be pre-processed.

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## Drawbacks Beam Sensor Model

- Lack of smoothness
  - $P(z | x_t, m)$  is not smooth in  $x_t$
  - Problematic consequences:
    - For sampling based methods: nearby points have very different likelihoods, which could result in requiring large numbers of samples to hit some “reasonably likely” states
    - Hill-climbing methods that try to find the locally most likely  $x_t$  have limited abilities per many local optima
- Computationally expensive
  - Need to ray-cast for every sensor reading
  - Could pre-compute over discrete set of states (and then interpolate), but table is large per covering a 3-D space and in SLAM the map (and hence table) change over time

## Outline

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- **2. Likelihood Field Model**
- 3. Map Matching
- 4. Iterated Closest Points (ICP)

## Likelihood Field Model

aka Beam Endpoint Model aka Scan-based Model

- Overcomes lack-of-smoothness and computational limitations of Sensor Beam Model
- Ad-hoc algorithm: not considering a conditional probability relative to any meaningful generative model of the physics of sensors
- Works well in practice.
- Idea: Instead of following along the beam (which is expensive!) just check the end-point. The likelihood  $p(z | x_t, m)$  is given by:

$$\frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{d^2}{2\sigma^2}\right)$$

with  $d$  = distance from end-point to nearest obstacle.

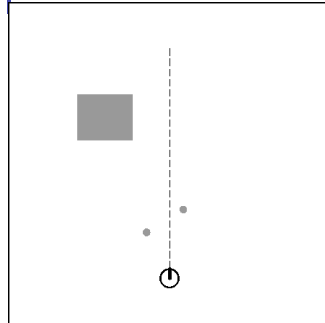
Algorithm: likelihood\_field\_range\_finder\_model( $z_t, x_t, m$ )

1.  $q = 1$
2. for all  $k$  do
3. if  $z_t^k \neq z_{\max}$
4.  $x_{z_t^k} = x + x_{k,\text{sens}} \cos \theta - y_{k,\text{sens}} \sin \theta + z_t^k \cos(\theta + \theta_{k,\text{sens}})$
5.  $y_{z_t^k} = y + y_{k,\text{sens}} \cos \theta - x_{k,\text{sens}} \sin \theta + z_t^k \sin(\theta + \theta_{k,\text{sens}})$
6.  $d = \min_{x',y'} \{(x_{z_t^k} - x')^2 + (y_{z_t^k} - y')^2 | (x', y') \text{ is occupied in } m\}$
7.  $q = q \cdot (p_{\text{hit}} \frac{1}{\sqrt{2\pi}\sigma} \exp(-\frac{d^2}{2\sigma^2}) + p_{\text{random}} \frac{1}{z_{\max}})$
8. return  $q$

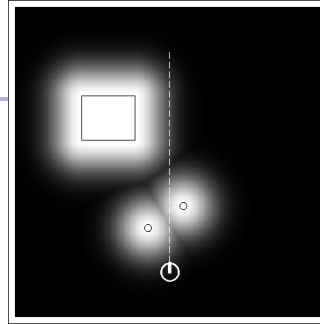
In practice: pre-compute "likelihood field" over (2-D) grid.

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## Example

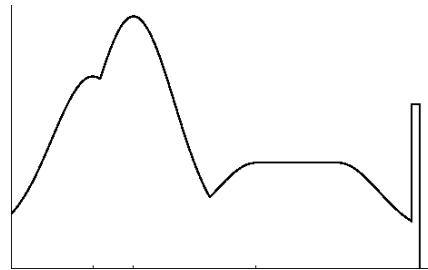


Map  $m$



Likelihood field

$$P(z|x,m)$$



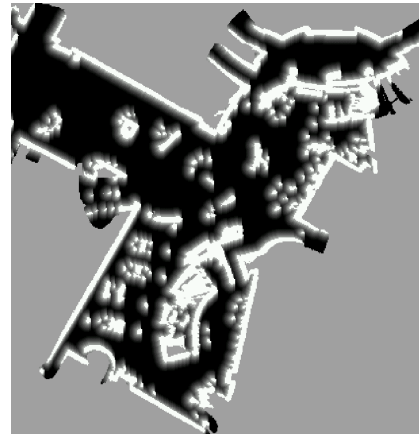
Note: " $p(z|x,m)$ " is not really a density, as it does not normalize to one when integrating over all  $z$

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## San Jose Tech Museum



Occupancy grid map



Likelihood field

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## Drawbacks of Likelihood Field Model

- No explicit modeling of people and other dynamics that might cause short readings
- No modeling of the beam --- treats sensor as if it can see through walls
- Cannot handle unexplored areas
  - Fix: when endpoint in unexplored area,  
have  $p(z_t | x_t, m) = 1 / Z_{\max}$

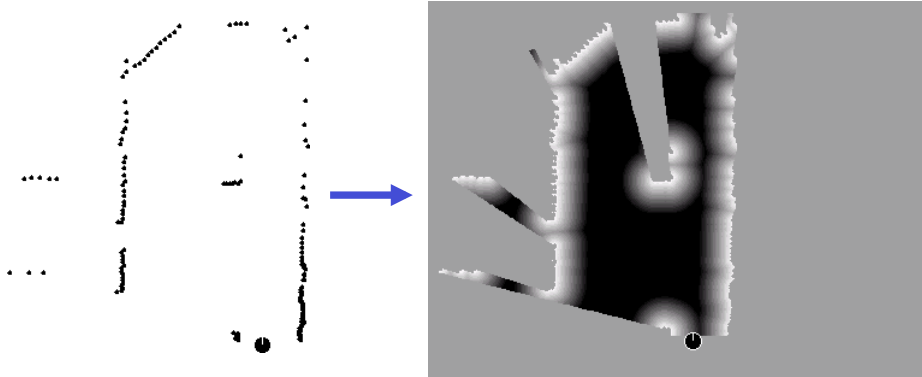
## Scan Matching

- As usual, maximize over  $x_t$  the likelihood  $p(z_t | x_t, m)$
- The objective  $p(z_t | x_t, m)$  now corresponds to the likelihood field based score



## Scan Matching

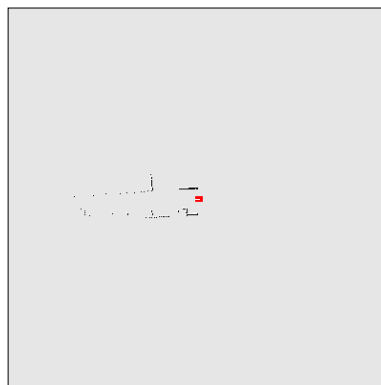
- Can also match two scans: for first scan extract likelihood field (treating each beam endpoint as occupied space) and use it to match the next scan. [can also symmetrize this]



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## Scan Matching

- Extract likelihood field from first scan and use it to match second scan.



~0.01 sec

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## Properties of Scan-based Model

- Highly efficient, uses 2D tables only.
- Smooth w.r.t. to small changes in robot position.
- Allows gradient descent, scan matching.
- Ignores physical properties of beams.

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## Outline

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## Map Matching

- Generate small, local maps from sensor data and match local maps against global model.

- Correlation score:

$$\rho_{m, m_{\text{local}}, x_t} = \frac{\sum_{x,y} (m_{x,y} - \bar{m}) \cdot (m_{x,y,\text{local}}(x_t) - \bar{m})}{\sqrt{\sum_{x,y} (m_{x,y} - \bar{m})^2} \sqrt{\sum_{x,y} (m_{x,y,\text{local}}(x_t) - \bar{m})^2}}$$

with  $\bar{m} = \frac{1}{2N} \sum_{x,y} (m_{x,y} + m_{x,y,\text{local}})$

- Likelihood interpretation:

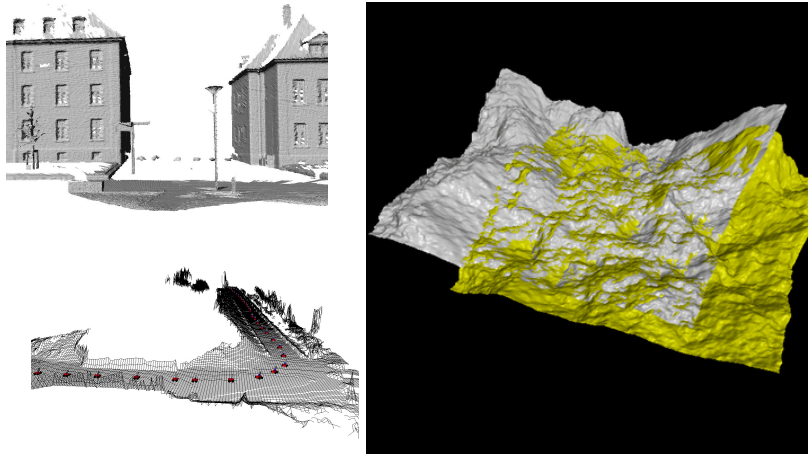
$$p(m_{\text{local}} | x_t, m) = \max\{\rho_{m, m_{\text{local}}, x_t}, 0\}$$

- To obtain smoothness: convolve the map  $m$  with a Gaussian, and run map matching on the smoothed map

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## Motivation



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## Known Correspondences

- Given: two corresponding point sets:

$$X = \{x_1, \dots, x_n\}$$

$$P = \{p_1, \dots, p_n\}$$

- Wanted: translation  $t$  and rotation  $R$  that minimizes the sum of the squared error:

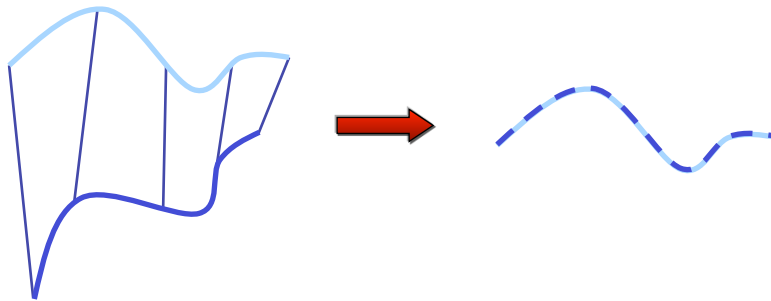
$$E(R, t) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|x_i - Rp_i - t\|^2$$

Where  $x_i$  and  $p_i$  are corresponding points.

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## Key Idea

- If the correct correspondences are known, the correct relative rotation/translation can be calculated in closed form.



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## Center of Mass

$$\mu_x = \frac{1}{N_x} \sum_{i=1}^{N_x} x_i \quad \text{and} \quad \mu_p = \frac{1}{N_p} \sum_{i=1}^{N_p} p_i$$

are the centers of mass of the two point sets.

### Idea:

- Subtract the corresponding center of mass from every point in the two point sets before calculating the transformation.
- The resulting point sets are:

$$X' = \{x_i - \mu_x\} = \{x'_i\} \quad \text{and} \\ P' = \{p_i - \mu_p\} = \{p'_i\}$$

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## SVD

Let  $W = \sum_{i=1}^{N_p} x_i' p_i'^T$

denote the singular value decomposition (SVD) of  $W$  by:

$$W = U \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix} V^T$$

where  $U, V \in \mathbb{R}^{3 \times 3}$  are unitary, and  $\sigma_1 \geq \sigma_2 \geq \sigma_3$  are the singular values of  $W$ .

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## SVD

**Theorem** (without proof):

If  $\text{rank}(W) = 3$ , the optimal solution of  $E(R, t)$  is unique and is given by:

$$R = UV^T$$
$$t = \mu_x - R\mu_p$$

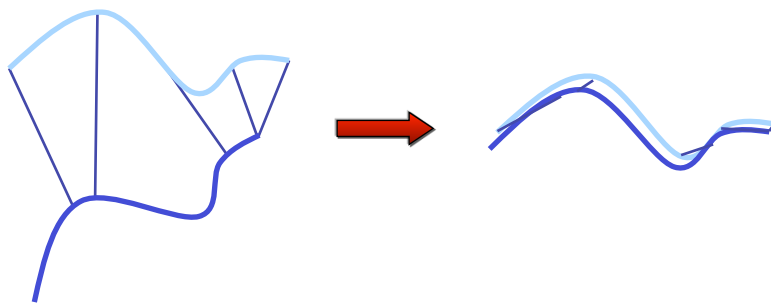
The minimal value of error function at  $(R, t)$  is:

$$E(R, t) = \sum_{i=1}^{N_p} (\|x_i'\|^2 + \|y_i'\|^2) - 2(\sigma_1 + \sigma_2 + \sigma_3)$$

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## Unknown Data Association

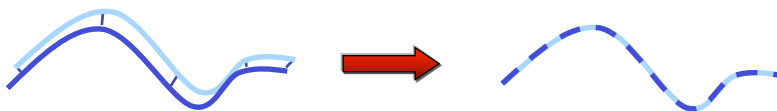
- If correct correspondences are not known, it is generally impossible to determine the optimal relative rotation/translation in one step



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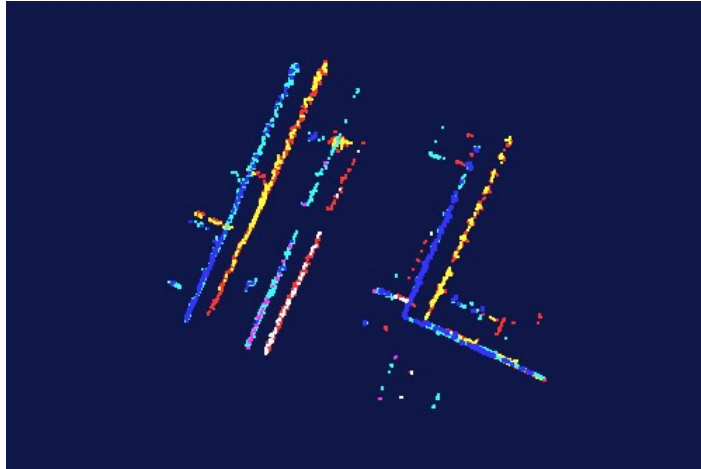
## ICP-Algorithm

- Idea: iterate to find alignment
- Iterated Closest Points (ICP)  
[Besl & McKay 92]
- Converges if starting positions are “close enough”



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## Iteration-Example



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## ICP-Variants

- Variants on the following stages of ICP have been proposed:
  1. Point subsets (from one or both point sets)
  2. Weighting the correspondences
  3. Data association
  4. Rejecting certain (outlier) point pairs

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## Performance of Variants

- Various aspects of performance:
  - Speed
  - Stability (local minima)
  - Tolerance wrt. noise and/or outliers
  - Basin of convergence  
(maximum initial misalignment)
- Here: properties of these variants

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## ICP Variants

- 
1. Point subsets (from one or both point sets)
  2. Weighting the correspondences
  3. Data association
  4. Rejecting certain (outlier) point pairs

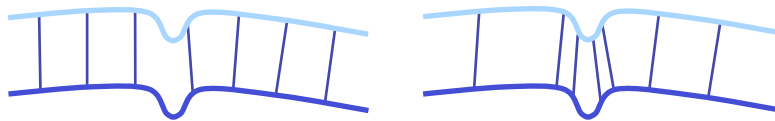
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## Selecting Source Points

- Use all points
- Uniform sub-sampling
- Random sampling
- Feature based Sampling
- Normal-space sampling
  - Ensure that samples have normals distributed as uniformly as possible

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## Normal-Space Sampling



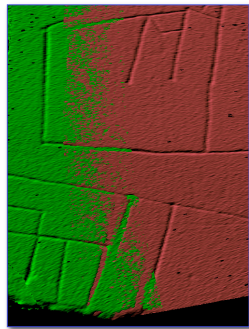
uniform sampling

normal-space sampling

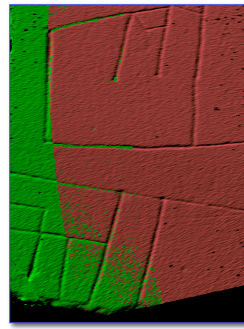
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## Comparison

- Normal-space sampling better for mostly-smooth areas with sparse features [Rusinkiewicz et al.]



Random sampling

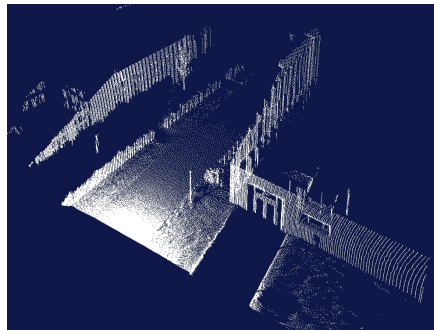


Normal-space sampling

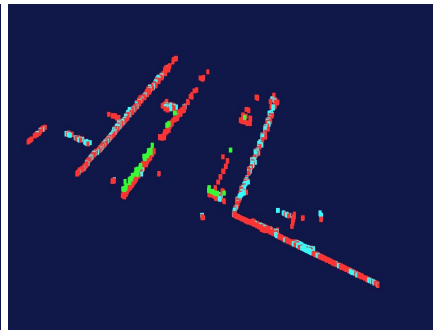
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## Feature-Based Sampling

- try to find “important” points
- decrease the number of correspondences
- higher efficiency and higher accuracy
- requires preprocessing



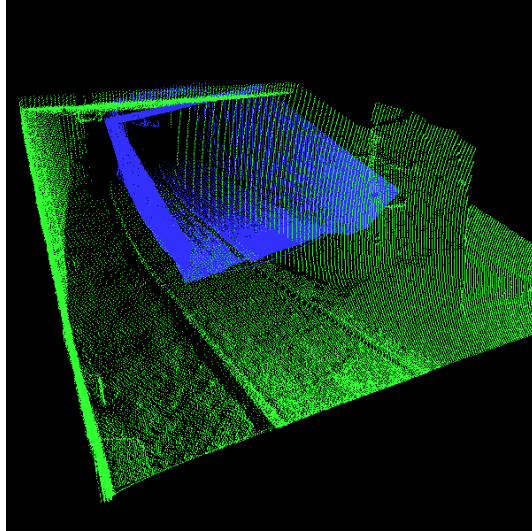
3D Scan (~200.000 Points)



Extracted Features (~5.000 Points)

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## Application



[Nuechter et al., 04]

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## ICP Variants

1. Point subsets (from one or both point sets)
- ➔ 2. **Weighting the correspondences**
3. Data association
4. Rejecting certain (outlier) point pairs


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## Selection vs. Weighting

- Could achieve same effect with weighting
- Hard to guarantee that enough samples of important features except at high sampling rates
- Weighting strategies turned out to be dependent on the data.
- Preprocessing / run-time cost tradeoff (how to find the correct weights?)

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## ICP Variants

1. Point subsets (from one or both point sets)
2. Weighting the correspondences
-  3. **Data association**
4. Rejecting certain (outlier) point pairs

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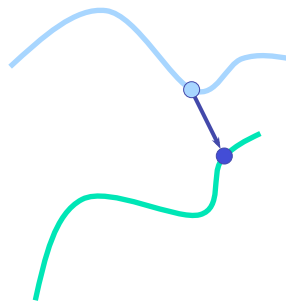
## Data Association

- has greatest effect on convergence and speed
- Closest point
- Normal shooting
- Closest compatible point
- Projection
- Using kd-trees or oc-trees

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## Closest-Point Matching

- Find closest point in other the point set

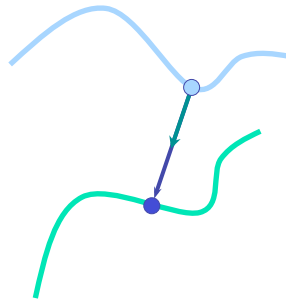


Closest-point matching generally stable,  
but slow and requires preprocessing

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## Normal Shooting

- Project along normal, intersect other point set

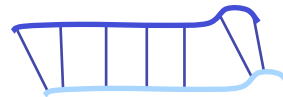
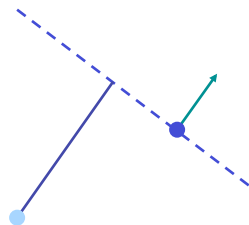


Slightly better than closest point for smooth structures,  
worse for noisy or complex structures

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## Point-to-Plane Error Metric

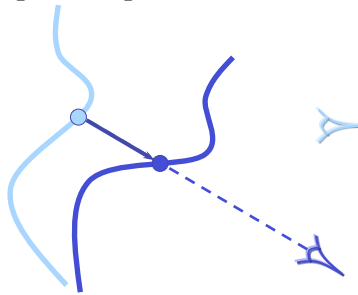
- Using point-to-plane distance instead of point-to-point lets flat regions slide along each other [Chen & Medioni 91]



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## Projection

- Finding the closest point is the most expensive stage of the ICP algorithm
- Idea: simplified nearest neighbor search
- For range images, one can project the points according to the view-point [Blais 95]



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## Projection-Based Matching

- Slightly worse alignments per iteration
- Each iteration is one to two orders of magnitude faster than closest-point
- Requires point-to-plane error metric

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## Closest Compatible Point

- Improves the previous two variants by considering the **compatibility** of the points
- Compatibility can be based on normals, colors, etc.
- In the limit, degenerates to feature matching

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## ICP Variants

1. Point subsets (from one or both point sets)
2. Weighting the correspondences
3. Nearest neighbor search
- ➔ 4. **Rejecting certain (outlier) point pairs**

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## Rejecting (outlier) point pairs

- sorting all correspondences with respect to their error and deleting the worst  $t\%$ , Trimmed ICP (TrICP) [Chetverikov et al. 2002]
- $t$  is to Estimate with respect to the Overlap



**Problem:** Knowledge about the overlap is necessary or has to be estimated

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## ICP-Summary

- ICP is a powerful algorithm for calculating the displacement between scans.
- The major problem is to determine the correct data associations.
- Given the correct data associations, the transformation can be computed efficiently using SVD.

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